

2121

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shimizu

Art Unit: 2121

Examiner: Holmes, Michael B.



Serial No.: 10/045,271

Filed: October 25, 2001

Atty. Docket: JP920000305US1

For: SIGNAL SEPARATION METHOD AND APPARATUS FOR RESTORING ORIGINAL  
SIGNAL FROM OBSERVED DATA

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

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OR

- ☒ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

Serial Number: 10/045,271

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- [XX] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [XX] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [ ] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached.

Respectfully submitted,

Date: Dec 15, 04



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement  
PTO 1449 with references cited therein  
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on December 23, 2004

Allison Berkman

*Allison Berkman*  
(Signature of person mailing paper)

Serial Number: 10/045,271

FORM PTO-1449 (Modified)				ATTY. DOCKET NO.: JP920000305US1				SERIAL NO.: 10 045,271															
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Shimizu																			
				FILING DATE: October 25, 2001				GROUP: 2121															
(Use several sheets if necessary)																							
REFERENCE DESIGNATION												U.S. PATENT DOCUMENTS											
EXAMINER INITIALS				DOCUMENT NUMBER				DATE		NAME				CLASS		SUBCLASS		FILING DATE (IF APPRO.)					
		AA																					
		AB																					
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				DOCUMENT NUMBER				DATE		COUNTRY				CLASS		SUBCLASS		TRANSLATION					
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		AJ																					
		AK																					
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)																							
		AL		Japanese Publication No. 2002-006960 published on January 11, 2002.																			
		AM		Japanese Publication No. 2000-242624 published on September 8, 2000.																			
		AN																					
		AO																					
EXAMINER												DATE CONSIDERED											
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.																							